This brochure supplement provides information about Michael Joseph Rood that supplements the RCA Wealth Strategies brochure. You should have received a copy of that brochure. Please contact Michael Joseph Rood if you did not receive RCA Wealth Strategies' brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Joseph Rood is also available on the SEC's website at www.adviserinfo.sec.gov.

# **RCA Wealth Strategies**

### Form ADV Part 2B – Individual Disclosure Brochure

for

## Michael Joseph Rood

Personal CRD Number: 2191065 Investment Adviser Representative

**RCA Wealth Strategies** 

11011 King Street, Suite 150Overland Park, KS 66210 (816) 260-0025 <u>mrood@rcawealthstrategies.com</u>

UPDATED: 11/03/2021

### Item 2: Educational Background and Business Experience

Name:	Michael Joseph	Rood	<b>Born:</b> 1968
Educational Background and Professional Designations:			
Education:			
Bachelor of Arts Economics, University of California Irvine - 1991			
Business Background:			
10/2	2021 - Present	Investment A Chief Compli RCA Wealth S	
06/2	2019 - 10/2021	Insurance Ag Insight Insura	
06/2	2019 - 10/2021	Private Wealt Insight Financ	0
06/2	2019 - 11/2020	Registered Re Securities Am	-
01/2	2013 - 05/2019	Financial Adv Ameritas Inve	
07/2	2002 - 12/2012	Site Leader New England	Financial

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Michael J Rood is an independent licensed insurance agent, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. RCA always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of RCA in connection with such individual's activities outside of RCA.

#### **Item 5: Additional Compensation**

Michael Joseph Rood does not receive any economic benefit from any person, company, or organization, other than RCA Wealth Strategies in exchange for providing clients advisory services through RCA Wealth Strategies.

#### **Item 6: Supervision**

As the Chief Compliance Officer of RCA Wealth Strategies, LLC, Michael Joseph Rood supervises all duties and activities of the firm. Michael Joseph Rood's contact information is on the cover page of this disclosure document. Michael Joseph Rood adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

### **Item 7: Requirements For State Registered Advisers**

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Michael Joseph Rood has not been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  - 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Michael Joseph Rood has not been the subject of a bankruptcy petition.